



## UNITED STATES PATENT AND TRADEMARK OFFICE

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Bib Data Sheet

CONFIRMATION NO. 5039

<b>SERIAL NUMBER</b> 10/029,731	<b>FILING DATE</b> 12/21/2001 <b>RULE</b>	<b>CLASS</b> 709	<b>GROUP ART UNIT</b> 2171	<b>ATTORNEY DOCKET NO.</b> 10727-003
<b>APPLICANTS</b> Jeffrey G. Tarrant, New York, NY;				
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/257,683 12/22/2000 <i>EM</i>				
<b>** FOREIGN APPLICATIONS *****</b> <i>None EM</i>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY **</b> ** 01/25/2002				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met <i>Alloyance</i> Verified and <i>EM</i> Acknowledged <i>EM</i> Examiner's Signature Initials		<b>STATE OR COUNTRY</b> NY	<b>SHEETS DRAWING</b> 6	<b>TOTAL CLAIMS</b> 14
<b>INDEPENDENT CLAIMS</b> 4				
<b>ADDRESS</b> 20583				
<b>TITLE</b> Method and system for sharing investor information over an electronic network				
<b>FILING FEE RECEIVED</b> 477	<b>FEES:</b> Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	